

Reconciling Concurrency Theory with Other Branches of Computer Science

Hubert Garavel

Inria Grenoble – LIG

and Saarland University (part-time)

<http://convecs.inria.fr>



Concurrency theory in 2014

- Scientifically relevant, but difficult to defend
 - ▶ a rather mathematical branch of computer science
 - ▶ economical impact difficult to assess
- Argument #1
 - ▶ distributed computing is everywhere: from microarchitectures to the cloud
 - ▶ concurrency theory helps to correctly design and verify complex systems
- Argument #2
 - ▶ one lacks good languages to program parallel machines
 - ▶ concurrency theory studies languages with native parallel composition
- Yet:
 - ▶ students and engineers find process calculi difficult ("steep learning curve")
 - ▶ academic colleagues do not spontaneously adopt process calculi

Outline

- LNT: a born-again process calculus
- Upward encodings
- Expressiveness / Convenience
- Conclusion

LNT: a born-again process calculus

Action prefix (1/2)

- A key operator of many process calculi:

$a . P$ | $a !x . P$ | $a ?x . P$ with a action, P process, x variable

- Advantages:

- ▶ well accepted by (most of) the concurrency theory community
- ▶ simple syntax
- ▶ simple SOS rules
- ▶ convenient for proofs

- Drawback #1: non-standard wrt other programming languages

- ▶ action prefix is **asymmetric**: $a . P$ action a followed by a process P
- ▶ everywhere else: **symmetric** sequential composition
 $P ; P'$ process P followed by another process P'
- ▶ students always tend to write symmetric sequential composition by default

Action prefix (2/2)

- Drawback #2: incompatible with regular expressions
 - ▶ computer scientists know regular expressions (command shells, text editors)
 - ▶ they naturally tend to write regular expressions, rather than prefix terms
- Drawback #3: no "**loop**" operator
 - ▶ one is forced to use recursion and introduce extra processes
 - ▶ many proposals for introducing loops, but few implementations (if any)
- Drawback #4: prohibits control-flow sharing
 - ▶ action prefix forces to write trees and prohibits DAGs
 - ▶ Ex1: $(a . c . \text{nil} + b . c . \text{nil})$ rather than $(a+b) . c . \text{nil}$
 - ▶ Ex2: **if x then** $(a . c . \text{nil})$ **else** $(b . c . \text{nil})$ rather than **(if x then a else b) . c . nil**
 - ▶ to avoid such undesirable unfoldings, one must introduce auxiliary processes
 - ▶ but this is poorly readable control flow ("goto"-like programming) and obscures the data flow (requires value parameters to be passed)

Attempt #1: LOTOS, CSP

- Action prefix was recognized to be insufficient as soon as 1985
- Idea: keep action prefix, add symmetric sequential composition
 - ▶ noted ">>" in LOTOS and ";" in CSP
- Many drawbacks:
 - ▶ two operators for almost the same purpose
Ex (LOTOS): `a ; b ; exit >> c ; d ; stop`
 - ▶ each sequential composition `>>` creates a τ -transition in the LTS
 - ▶ no neutral element for sequential composition (modulo strong bisimulation)
 - ▶ sub-term sharing for control flow is possible but heavy
`(a ; exit [] b ; exit) >> c ; stop`
 - ▶ In CSP, the values of variables do not move across sequential composition
`(?x : T -> SKIP) ; (x -> STOP)` the left `x` remains local to `(?x : T -> SKIP)`
 - ▶ In LOTOS, the values of variables may move across sequential composition
`(Recv ?x:T ; exit (x)) >> accept x:T in Send !x ; stop` ok, but awfully complex

Attempt #2: ACP & Co (PSF, μ CRL, mCRL2)

- Idea: discard action prefix; use symmetric sequential composition
- Advantages (in absence of value passing)
 - ▶ simplicity — and no creation of extra τ -transitions
 - ▶ allows control-flow sharing
 - ▶ subsumes regular expressions (and even context-free grammars)
- Drawbacks (all related to value passing)
 - ▶ `Input?x:Int ; Output !x ; exit` cannot be written this way (i.e., as in LOTOS)
it must be written `$\Sigma (x:\text{Int}, \text{Input } (x) . \text{Output } (x))$` => no notation for input
 - ▶ the value of `x` is not chosen during the input, but before (in the sum operator)
 - ▶ ambiguous: no dedicated syntax to distinguish between inputs and outputs
 `$\Sigma (x:\text{Int}, a (x))$` can mean either `a?x:Int ; exit` or `choice x:Int [] a !x ; exit`
 - ▶ certain forms of control-flow sharing cannot be expressed in these languages
Ex: `(a ?x [] τ ; b ?x) ; c !x ...`

where should the sum operator for "b ?x" be put?

Early conclusions

- **ACTION PREFIX IS THE ROOT OF ALL EVIL**
- CCS, CSP, LOTOS are not optimal for describing complex systems
- ACP & Co. do slightly better, but do not solve all issues
- A better language (named "LNT") has to be designed
- **DECISION 1 for LNT:**
 - ▶ get rid of action prefix
 - ▶ use ACP-style sequential composition
- **Next step: find a proper solution for value-passing issues**
 - ▶ must be intuitive for mainstream software engineers
 - ▶ thus, necessarily different from both CCS/CSP/LOTOS and ACP & Co.

Control-flow and data-flow sharing

- As mentioned before, control-flow sharing is intuitive and suitable
 - ▶ Ex1: $(A [] B) ; C$ *nondeterministic choice*
 - ▶ Ex2: $(\text{if } x \text{ then } A \text{ else } B) ; C$ *deterministic choice*
 - ▶ Ex3: $(\text{case } x \text{ in } a \rightarrow A \mid b \rightarrow B) ; C$ *deterministic choice*
- The values of variables should implicitly move across ";" operators
 - ▶ Ex4: $(A ?x [] B ?x) ; C !x \dots$
 - ▶ Ex5: $(\text{if } c \text{ then } A ?x \text{ else } x := 0) ; B !x \dots$
- In most process calculi, variables are write-once
 - ▶ they are so-called "dynamic constants"
 - ▶ simple syntax: declaration and initialization of variables are bound together
 - ▶ simple semantics: [value/variable] substitutions are sufficient
- But dynamic constants are not mainstream in computer languages
 - ▶ they isolate process calculi from the crowd of software developers

Introducing "true" variables

■ DECISION 2 FOR LNT:

- ▶ ordinary (i.e., "write-many") variables are suitable
- ▶ both in the data part (functions) and in the behavior part (processes)
- ▶ variable ***declarations*** and variable ***modifications*** need to be separated
- ▶ successive assignments to the same variable are permitted

■ Variable declarations

- ▶ **var** X : T **in** ... **end var**

■ Variable modifications

- ▶ X := E *assignment*
- ▶ G ?X **where** E (X) *input with (optional) predicate*
- ▶ X := **any** T **where** E (X) *nondeterministic assignment with predicate*
- ▶ calls to functions and processes (Ada-like "**in**", "**out**", and "**in out**" parameters)

Uninitialized variables (1/2)

- Problem: certain syntactically correct terms have no clear meaning
 - ▶ Ex: $(A ?x [] B ?y) ; C !x+y$
 - ▶ but this term becomes meaningful if prefixed with $x := 0 ; y := 0$
- Whether a term has a meaning or not is undecidable (\approx halting)
- Solution #1: reading uninitialized variables has undefined effects
 - ▶ usual solution in imperative languages (as in C, etc.)
 - ▶ unacceptable if a formal semantics is sought
- Solution #2: initialize all variables implicitly when they are declared
 - ▶ e.g. set integers to zero, Booleans to false (as in Eiffel)
 - ▶ allows formal semantics but hides user mistakes
- Solution #3: give uninitialized variables nondeterministic values
 - ▶ tricky: implicit summation operator by reading an uninitialized variable
 - ▶ allows formal semantics but hides user mistakes

Uninitialized variables (2/2)

- Solution #4: add restrictions to reject "dubious" programs
- Either using syntactic restrictions:
 - ▶ CCS: **asymmetric action prefix** is just a means to avoid $(a ?x + b ?y) . c !x+y$
 - ▶ ACP: **output-only syntax for actions** is another means for the same issue
 - ▶ syntactic restrictions are very primitive defense means; better solutions exist
- Or using static semantics restrictions:
 - ▶ standard means to rule out syntactically correct, yet problematic programs
 - ▶ process calculi neglect static semantics and try to do everything using syntax
- DECISION 3 FOR LNT: static semantics constraints on initializations
 - ▶ reject programs in which variables are not provably set before used
 - ▶ sufficient conditions based on static data-flow analysis
 - ▶ inspired by the Hermes (IBM) and Java (Sun) languages
 - ▶ well-accepted by programmers, catches many mistakes

"Context-free" recursion

- Symmetric sequential composition allows context-free recursion
 - ▶ Example: `process P = null [] (A ; P ; B)`
 - ▶ (note that action prefix syntactically prohibits this)
- Assessment:
 - ▶ this recursion is not so useful in practice
 - ▶ the same behaviour can be easily described using regular processes with value parameters
- DECISION 4 for LNT: static semantic restrictions on recursion
 - ▶ LNT processes: only tail-recursion is allowed
note: non-tail recursion could yet be eliminated automatically (e.g. μ CRL)
 - ▶ LNT functions: no restriction on the use of recursion

Shared variables

- Separation of declaration and assignment allows shared variables
 - ▶ Example: `var X:int in (Input ?X || Input ?X) ; Output !X`
 - ▶ (note that this is impossible when variables are write-once)
- Assessment
 - ▶ This could be an opportunity to combine message-passing and shared-variable paradigms in the same formal language
 - ▶ A nice semantics could probably be found for shared variables
 - ▶ For the moment, LNT remains in the message-passing framework
- DECISION 5 for LNT: static semantic restrictions on shared variables
 - ▶ LNT parallel branches may inherit variables from their enclosing scope
 - ▶ In principle, all parallel branches can read all shared variables
 - ▶ If a branch writes a shared variable, the other branches can neither write nor read this variable (i.e., exclusive write access policy)

Dynamic semantics of LNT

- Annex B of the LNT2LOTOS Reference Manual
 - ▶ written by Frédéric Lang (16 pages)
 - ▶ <ftp://ftp.inrialpes.fr/pub/vasy/publications/cadp/Champelovier-Clerc-Garavel-et-al-10.pdf>
- For LNT functions:
 - ▶ state = memory store (mapping: variable \rightarrow value)
 - ▶ LNT instructions define transitions between states (i.e., store updates)
- For LNT processes:
 - ▶ Labelled transition systems
 - ▶ LTS state = \langle process term, memory store \rangle
 - ▶ SOS rules define transitions between LTS states
 - ▶ Sequential composition: ACP-like rules + store updates
 - ▶ Static semantics restrictions avoid complications in the dynamic semantics

Upward encodings

Encoding reg. exp. and ACP in LNT

■ Regular expressions -----> LNT

ε	null — <i>but adds a tick</i> ✓
a	a — <i>but adds a tick</i> ✓
$R1 . R2$	$R1 ; R2$
$R1 \mid R2$	select R1 [] R2 end select
R^*	loop R end loop

■ ACP -----> LNT

0	stop
1	null
$\Sigma (x : T, P(x))$	var x:T in x := any T; P (x) end var

Parallel composition and renaming are orthogonal issues

Encoding CCS in LNT

■ CCS

-----> LNT

nil

stop

a . P

a ; P

a !x . P

a (x) ; P

a ?x:T . P

var x:T in a (?x) ; P end var

P1 + P2

select P1 [] P2 end select

■ Other CCS operators

- ▶ recursion: translates to either a **loop** operator or an LNT process call
- ▶ CCS "complement" gates, parallel and restriction are orthogonal issues

Encoding LOTOS in LNT

- For those LOTOS operators that also exist in CCS:
 - ▶ apply the same rules as for the CCS to LNT translation
 - ▶ but LOTOS has additional operators that do not exist in CCS

- LOTOS

-----> LNT

$G \ ?x:T \ [V] \ \text{in } P$

var $x:T$ **in** $G \ (?x) \ \text{where } V ; P$ **end var**

let $x:T = V$ **in** P

var $x:T$ **in** $x := V ; P$ **end var**

choice $x:T \ [] \ P$

var $x:T$ **in** $x := \text{any } T ; P$ **end var**

exit

null

exit (V_1, \dots, V_n)

null

$P_1 \gg P_2$

$P_1 ; \tau ; P_2$

$P_1 \gg$ **accept** $x:T$ **in** P_2

$P_1 ; \tau ; P_2$ (where P_1 assigns x)

The quest for a unifying framework for process calculi

■ The usual approach

- ▶ search for a "core" calculus of very primitive elements
- ▶ try to express classical process calculi using this "core" calculus
- ▶ the core calculus is "low level", whereas the process calculi are "high level"

■ LNT: a different approach

- ▶ translate classical process calculi to LNT
- ▶ the classical process calculi are "low level", whereas LNT is "high level"
- ▶ the translations to LNT are straightforward (i.e., "syntactical" substitutions)
- ▶ the classical process calculi appear as a "subset" or a particular "specification style" of LNT, which is more general

Expressiveness / Convenience

Reusing algorithmic control structures

- Once symmetric sequential composition and "standard" value passing rules are adopted, all the usual constructs of algorithmic programming languages come "for free"
- In LNT, 70% of constructs look familiar (Ada-like syntax):
 - ▶ **if-then-else** (with **elsif**)
 - ▶ **case** with pattern matching
 - ▶ **while ... loop**, **for ... loop**, forever **loop** with **break**
 - ▶ functions with **return** statement
 - ▶ LNT functions and processes have many constructs in common
- Additional process constructs (coming from concurrency theory):
 - ▶ nondeterministic assignment: $X := \mathbf{any} T \mathbf{where} P(X)$
 - ▶ nondeterministic choice: **select** ... [] ... [] ... **end select**
 - ▶ parallel composition: **par** ... || ... || ... **end par**
 - ▶ hiding: **hide** ... **end hide**

More flexible specification styles

- LNT favors alternatives to the traditional "condition/action" style
- A recent example:

select

L := {}

[] L := {0, 1}

[] L := {1, 0, 2}

[] ...

end select ;

SEND (L);

while L != {} loop

X := X - head (L);

L := tail (L)

end loop

nondeterministic choice used to produce a finite set of values among a potentially infinite domain

(there are no input/output actions in the branches of this select statement)

statically unbounded number of assignments

Challenge 1: Guarded commands

- Proposed by Dijkstra — used, e.g., in the PRISM model checker
- LNT can express guarded commands naturally and concisely:

```
process GuardedCommands [G1, G2, ... Gn : void] is
```

```
  var X1, X2, ... Xn : int in
```

```
    X1 := 0 ; X2 := 0 ; ... ; Xn := 0
```

```
  loop
```

```
    select
```

```
      only if X1 < 9 then G1 ; X1 := X1+1 end if
```

```
      [] ... []
```

```
      only if Xn < 9 then Gn ; Xn := Xn+1 end if
```

```
    end select
```

```
  end loop
```

```
end var
```

```
end process
```



Using traditional process calculi:

- **1** recursive process having ***n*** parameters
- ***n*** recursive process calls
- ***n*²** parameters passed (most of which unchanged)
- LNT = linear code size, others = quadratic code size

Challenge 2: DAG control patterns

- LNT can directly express DAG-like control patterns:

- ▶ e.g., choice-DAGs: $(P1 [] P2) ; (Q1 [] Q2) ; (R1 [] R2)$
- ▶ but also if-DAGs, case-DAGs, etc.

process DAG [Input, Output : IntChannel] (X1, ..., Xn : Int) **is**

```
if X1 = 0 then Input (?X1) end if ;  
if X2 = 0 then Input (?X2) end if ;  
...  
if Xn = 0 then Input (?Xn) end if ;  
Output (combination (X1, X2,..., Xn))
```

end process



Using traditional process calculi:

- n processes having n parameters each
- n^2 parameters passed
- LNT = linear code size, others = quadratic code size
- tedious and error prone

Challenge 3: Map-Reduce

- Given n inputs X_1, X_2, \dots, X_n , compute $g(f_1(X_1), f_2(X_2), \dots, f_n(X_n))$
- Each computation $Y_i = f_i(X_i)$ is given to one parallel processor

```
var X1, X2, ..., Xn : S,  
    Y1, Y2, ..., Yn : T in  
  Input (?X1, ?X2, ..., ?Xn);  
  par  
    Y1 := f1 (X1)  
  || Y2 := f2 (X2)  
  || ...  
  || Yn := fn (Xn)  
  end par ;  
  Output (g (Y1, Y2, ..., Yn))  
end var
```

```
Input ?X1, X2, ..., Xn : S ;  
(  
  exit (f1 (X1), any T, ..., any T)  
|| exit (any T, f2 (X2), ... any T)  
|| ...  
|| exit (any T, any T, ..., fn (Xn))  
)  
>> accept Y1, Y2, ..., Yn : T in  
  Output (g (Y1, Y2, ..., Yn))  
end var
```

LNT = linear code size, LOTOS = quadratic code size, **not compositional**

Conclusions

Revisiting classical process calculi

- Classical process calculi are good, yet not optimal
 - ▶ they are difficult to learn and to master
 - ▶ they face certain problems when scaling to large, complex systems (prohibition of control-flow sharing, quadratic explosion of code size, etc.)
 - ▶ a better tradeoff between convenience and semantic simplicity is possible
- A critical assessment of action prefix and write-once variables
 - ▶ forcing write-once variables is simple, but overly restrictive and clumsy
 - ▶ CCS action prefix is a "trick" to syntactically forbid write-many variables
 - ▶ ACP output-only syntax is another trick to also forbid write-many variables
- Why are (most) process calculi designed like this?
 - ▶ need for having a formal semantics (forbid uninitialized variables)
 - ▶ individual preferences for functional languages, algebras, etc.
 - ▶ ignores the difference between syntax checks and static semantics checks
 - ▶ process calculi came too early: Hermes (1986-92) and Java (95) arrived later

LNT: an alternative approach

■ Key concepts:

- ▶ remove action prefix
- ▶ add sequential symmetric composition
- ▶ separate variable declaration and modification
- ▶ allow write-many variables
- ▶ static semantics: use data flow analysis to reject dubious programs
- ▶ dynamic semantics: extend LTS states with memory stores

■ Benefits:

- ▶ generalizes regular expressions and the usual calculi: ACP, CCS, CSP, LOTOS
- ▶ generalizes sequential imperative languages
- ▶ better convenience than the usual calculi (dags, map-reduce, etc.)
- ▶ supports action refinement (replacement of an action by a process)

Design and implementation of LNT

- First attempt: 1993-2000
 - ▶ push ideas in the definition of E-LOTOS (ISO standard 15435:2001)
- Second attempt: 1998-2008
 - ▶ definition of LOTOS NT, a simplified version of E-LOTOS
 - ▶ direct implementation : the TRAIAN compiler (data types only → C)
Mihaela Sighireanu's PhD thesis
- Third attempt: 2005-now
 - ▶ indirect implementation: LNT → LOTOS (much harder than LOTOS → LNT)
 - ▶ LNT2LOTOS translator (initially funded by Bull)
Frédéric Lang: translation of LNT types and functions
Wendelin Serwe: translation of LNT processes
D. Champelovier, X. Clerc, etc.: implementation of the translator
 - ▶ reuse of the LOTOS compilers and verification tools present in CADP
- On the long run: resume direct implementation LNT → C

Feedback about LNT

- LNT is taught to engineering students
 - ▶ LNT is much easier and faster to learn than LOTOS
 - ▶ LNT builds on prior knowledge: regular expressions, programming languages students don't have to forget what they already learnt in programming courses
they can focus on concurrency theory concepts (choice, parallel, hide, etc.)
 - ▶ because LNT is intuitive, students tend to jump writing specifications without reading the formal semantics (a very questionable advantage!)
- LNT is used to model real-life applications
 - ▶ since 2010, LNT has entirely replaced LOTOS in our research team
 - ▶ a growing list of case-studies: ATVA'13, FMICS'13, FORTE'13, FORTE'14, IFM'13, ISSE'13, SAC'14, TACAS'13, SCICO journal (2013 and 2014)
 - ▶ STMicroelectronics: LNT enabled the development of hardware models that were too large to be realistically described in LOTOS